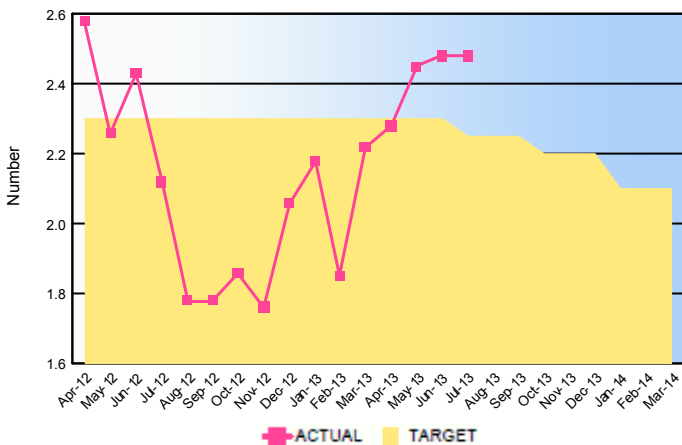


Balanced Scorecard - Trust Board

2013/14 Month - 04

Efficiency

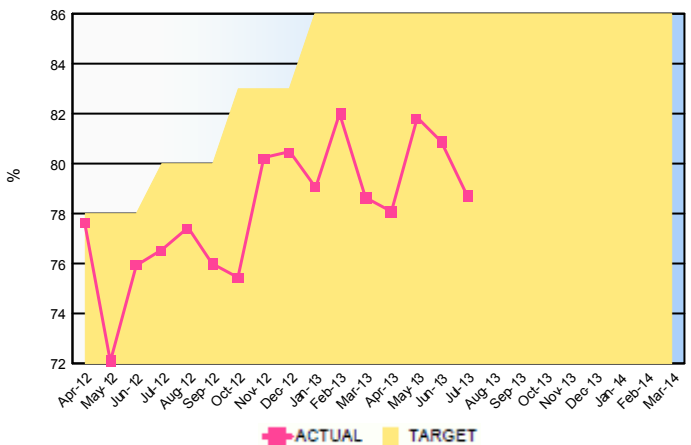
New to Follow Up Ratio (Consultant Led Activity)



| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 2.30 | 2.28 | g |
| May-13 | 2.30 | 2.45 | a |
| Jun-13 | 2.30 | 2.48 | a |
| Jul-13 | 2.25 | 2.48 | a |
| Aug-13 | 2.25 | | |
| Sep-13 | 2.25 | | |
| Oct-13 | 2.20 | | |
| Nov-13 | 2.20 | | |
| Dec-13 | 2.20 | | |
| Jan-14 | 2.10 | | |
| Feb-14 | 2.10 | | |
| Mar-14 | 2.10 | | |

Efficiency

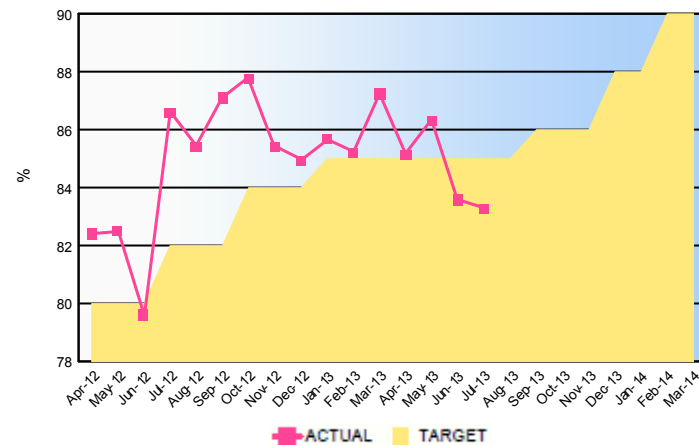
BADS Activity



| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 86.00 | 78.07 | a |
| May-13 | 86.00 | 81.82 | a |
| Jun-13 | 86.00 | 80.88 | a |
| Jul-13 | 86.00 | 78.71 | a |
| Aug-13 | 86.00 | | |
| Sep-13 | 86.00 | | |
| Oct-13 | 86.00 | | |
| Nov-13 | 86.00 | | |
| Dec-13 | 86.00 | | |
| Jan-14 | 86.00 | | |
| Feb-14 | 86.00 | | |
| Mar-14 | 86.00 | | |

Efficiency

Admission on Day of Surgery



| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 85.00 | 85.14 | g |
| May-13 | 85.00 | 86.32 | g |
| Jun-13 | 85.00 | 83.59 | a |
| Jul-13 | 85.00 | 83.30 | a |
| Aug-13 | 85.00 | | |
| Sep-13 | 86.00 | | |
| Oct-13 | 86.00 | | |
| Nov-13 | 86.00 | | |
| Dec-13 | 88.00 | | |
| Jan-14 | 88.00 | | |
| Feb-14 | 90.00 | | |
| Mar-14 | 90.00 | | |

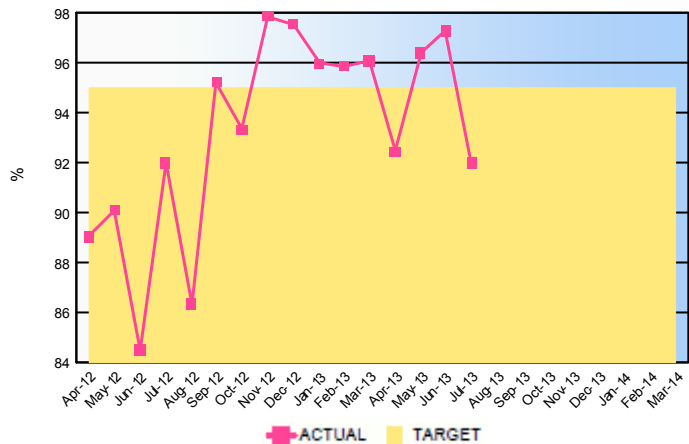
Balanced Scorecard - Trust Board

2013/14 Month - 04

Efficiency

Theatre Efficiency

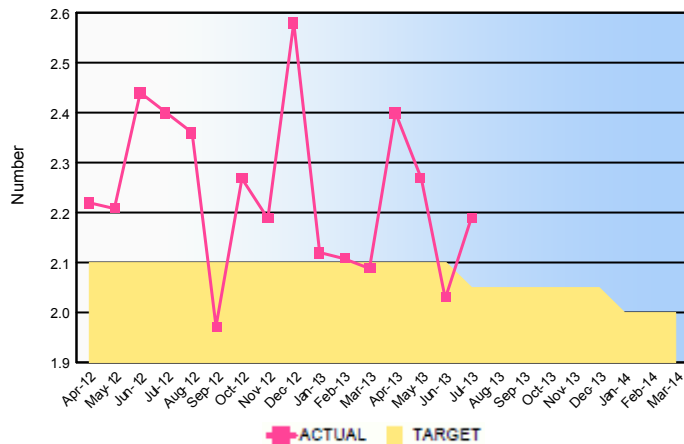
% Staffed Theatre Lists Utilised



| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 95.00 | 92.46 | a |
| May-13 | 95.00 | 96.40 | g |
| Jun-13 | 95.00 | 97.27 | g |
| Jul-13 | 95.00 | 92.01 | a |
| Aug-13 | 95.00 | | |
| Sep-13 | 95.00 | | |
| Oct-13 | 95.00 | | |
| Nov-13 | 95.00 | | |
| Dec-13 | 95.00 | | |
| Jan-14 | 95.00 | | |
| Feb-14 | 95.00 | | |
| Mar-14 | 95.00 | | |

Efficiency

Average Length Of Stay - Elective Including Daycase

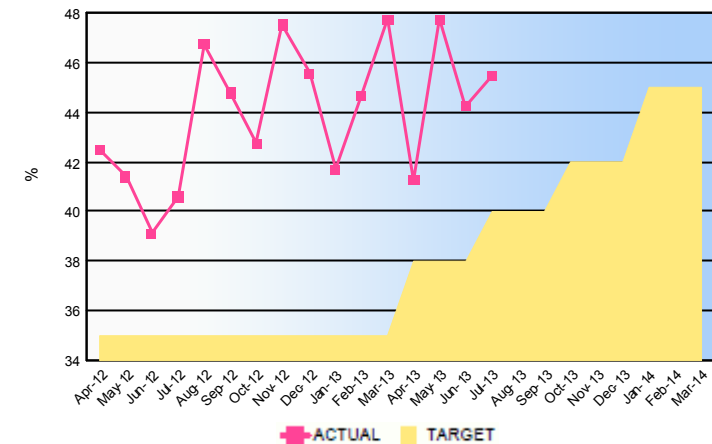


| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 2.10 | 2.40 | a |
| May-13 | 2.10 | 2.27 | a |
| Jun-13 | 2.10 | 2.03 | g |
| Jul-13 | 2.05 | 2.19 | a |
| Aug-13 | 2.05 | | |
| Sep-13 | 2.05 | | |
| Oct-13 | 2.05 | | |
| Nov-13 | 2.05 | | |
| Dec-13 | 2.05 | | |
| Jan-14 | 2.00 | | |
| Feb-14 | 2.00 | | |
| Mar-14 | 2.00 | | |

Efficiency

Enhanced Recovery

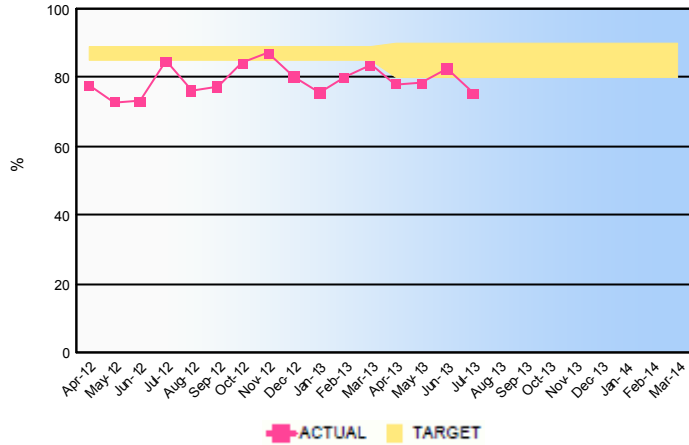
% of hip and knee patients discharged in 3 days or less



| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 38.00 | 41.28 | g |
| May-13 | 38.00 | 47.74 | g |
| Jun-13 | 38.00 | 44.25 | g |
| Jul-13 | 40.00 | 45.45 | g |
| Aug-13 | 40.00 | | |
| Sep-13 | 40.00 | | |
| Oct-13 | 42.00 | | |
| Nov-13 | 42.00 | | |
| Dec-13 | 42.00 | | |
| Jan-14 | 45.00 | | |
| Feb-14 | 45.00 | | |
| Mar-14 | 45.00 | | |

Efficiency

Bed Occupancy - Adult Orthopaedic Wards



| Period | Target | Actual | Performance |
|--------|--------|--------|-------------|
| Apr-13 | 85.00 | 78.03 | a |
| May-13 | 85.00 | 78.36 | a |
| Jun-13 | 85.00 | 82.50 | g |
| Jul-13 | 85.00 | 75.46 | a |
| Aug-13 | 85.00 | | |
| Sep-13 | 85.00 | | |
| Oct-13 | 85.00 | | |
| Nov-13 | 85.00 | | |
| Dec-13 | 85.00 | | |
| Jan-14 | 85.00 | | |
| Feb-14 | 85.00 | | |
| Mar-14 | 85.00 | | |

Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

Finance Report for period ending 31st July 2013

A) Income and Expenditure Account £m

| | Annual Plan | In Month | | | Year To Date Position | | |
|---------------------------------|-------------|----------|--------|----------|-----------------------|---------|----------|
| | | Plan | Actual | Variance | Plan | Actual | Variance |
| Clinical Income from activity | 75.52 | 6.78 | 7.09 | 0.31 | 26.56 | 27.15 | 0.59 |
| Private Patient Income | 3.85 | 0.37 | 0.25 | (0.12) | 1.29 | 1.08 | (0.20) |
| Other income | 6.91 | 0.52 | 0.57 | 0.05 | 2.10 | 2.34 | 0.24 |
| Pay | (48.06) | (4.12) | (4.22) | (0.09) | (16.40) | (16.73) | (0.33) |
| Non-pay | (33.10) | (2.89) | (3.04) | (0.15) | (11.54) | (11.85) | (0.31) |
| EBITDA | 5.13 | 0.67 | 0.66 | (0.00) | 2.00 | 1.99 | (0.01) |
| Finance Costs | (4.13) | (0.34) | (0.33) | 0.01 | (1.43) | (1.41) | 0.02 |
| Surplus/ Loss Before Impairment | 1.00 | 0.33 | 0.33 | 0.01 | 0.57 | 0.58 | 0.01 |
| Impairment | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Net Surplus | 1.00 | 0.33 | 0.33 | 0.01 | 0.57 | 0.58 | 0.01 |

C) Cashflow Statement £'000

| | In Month | | Year To Date | |
|--|----------|--------|--------------|---------|
| | Plan | Actual | Plan | Actual |
| EBITDA | 668 | 663 | 2,003 | 1,993 |
| Impairments | 0 | 0 | 0 | 0 |
| Working Capital Movement | (200) | (886) | (966) | (2,036) |
| Operating Cash Flow | 468 | (223) | 1,037 | (44) |
| Capital Expenditure | (207) | (27) | (539) | (337) |
| Proceeds from sale of assets | 0 | 0 | 0 | 0 |
| Operating Cash Flow after Capital | 261 | (293) | 498 | (381) |
| Net Interest Paid / Received | 0 | (0) | (5) | (1) |
| Provisions Movement | 0 | (20) | (25) | (47) |
| Loans Received | 0 | 0 | 0 | 0 |
| Loan Repayment | 0 | 0 | 0 | 0 |
| Lease Payment | 0 | (3) | (7) | (11) |
| PDC Received | 0 | 0 | 0 | 0 |
| PDC Dividend Paid | 0 | 0 | 0 | 0 |
| Cash Flow for Period | 261 | (275) | 461 | (480) |
| Opening Cash Balance | 4,397 | 4,029 | 4,197 | 4,197 |
| Closing Cash Balance | 4,658 | 3,757 | 4,658 | 3,757 |

B) Statement of Financial Position £'000

| | Jun-13 | Jul-13 | Move-ment | |
|---|---------|---------|-----------|--|
| Fixed Assets | 48,494 | 48,308 | (186) | In month capex net of depreciation |
| Non current receivables | 550 | 633 | 83 | Increase in CRU income net of cash receipts |
| Total Non Current Assets | 49,043 | 48,941 | (102) | |
| Inventories (Stocks) | 1,455 | 1,477 | 21 | |
| Receivables | 7,523 | 7,545 | 23 | |
| Cash at Bank and in hand | 4,029 | 3,757 | (272) | Cash phasing of contract plan |
| Total Current Assets | 13,007 | 12,779 | (228) | |
| Payables (Creditors) | (9,459) | (8,820) | 639 | Repayment of duplicate receipt to Specialised Commissioner |
| Borrowings | (82) | (82) | 0 | |
| Current Provisions | (169) | (159) | 10 | |
| Total Current Liabilities | (9,710) | (9,081) | 629 | |
| Total Assets less Current Liabilities | 52,340 | 52,658 | 318 | |
| Non Current Borrowings | (235) | (232) | 3 | |
| Non Current Provisions | (266) | (256) | 10 | |
| Creditors due after more than one year | (501) | (488) | 13 | |
| Total Assets Employed | 51,839 | 52,170 | 330 | |
| Public Dividend Capital | 31,220 | 31,220 | 0 | |
| Revenue Position | 253 | 583 | 330 | In month surplus |
| Retained Earnings | 5,482 | 5,482 | 0 | |
| Revaluation Reserve | 14,884 | 14,884 | 0 | |
| Total Taxpayers Equity | 51,839 | 52,170 | 330 | |

D) Predicted Monitor Risk Assessment/Ratio's

| | Annual Plan | YTD Actual | YTD Risk Rating | Annual Forecast | Forecast Risk Rating |
|---|-------------|------------|-----------------|-----------------|----------------------|
| EBITDA Margin | 5.1% | 6.5% | 3 | 5.1% | 3 |
| EBITDA Achieved | 92.4% | 99.4% | 4 | 100.0% | 5 |
| Return on Assets (ROA) | 0.6% | 3.4% | 5 | 0.6% | 3 |
| I&E Surplus Margin | 1.2% | 1.9% | 3 | 1.2% | 3 |
| Liquidity Ratio (Days) | 28 | 35 | 4 | 28 | 4 |
| Overall risk rating | 3 | 4 | 3 | 3 | 3 |
| Continuity of Services Shadow Risk Rating: | | | | | |
| Debt Service Cover | 3.71x | 4.33x | 4 | 3.71x | 4 |
| Liquidity (Days) | 1.71 | 11.33 | 4 | 1.71 | 4 |
| Overall risk rating | | | 4 | | 4 |

Executive Commentary

Overall performance for July was on plan; activity volumes driving income over-performance offset by continued cost pressures associated with improving our RTT performance and lower than planned private patient income.

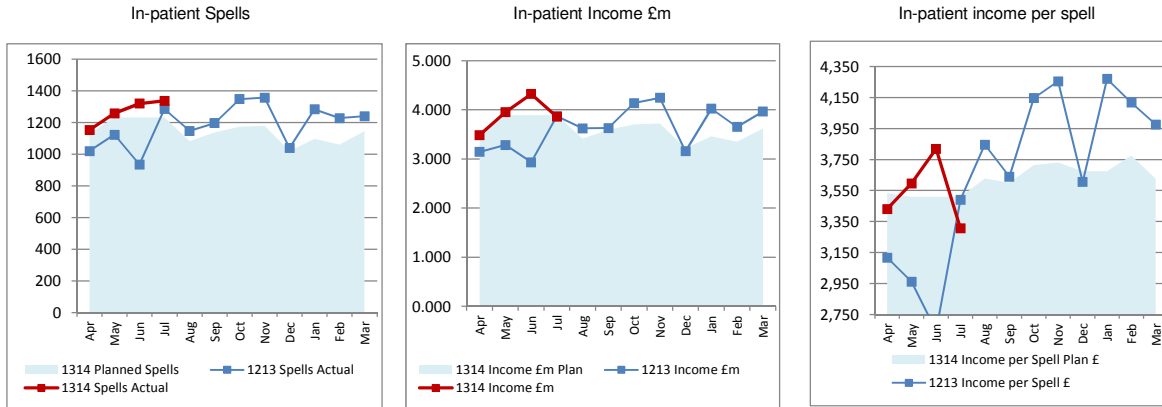
Our EBITDA margin improved again to 6.5% which is ahead of plan and more aligned to the sector average. We have also included an assessment against the new Monitor Risk Assessment Framework which show us delivering a level 4 (the lowest level of risk). We expect this measure to replace the current risk rating from Quarter 3.

Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

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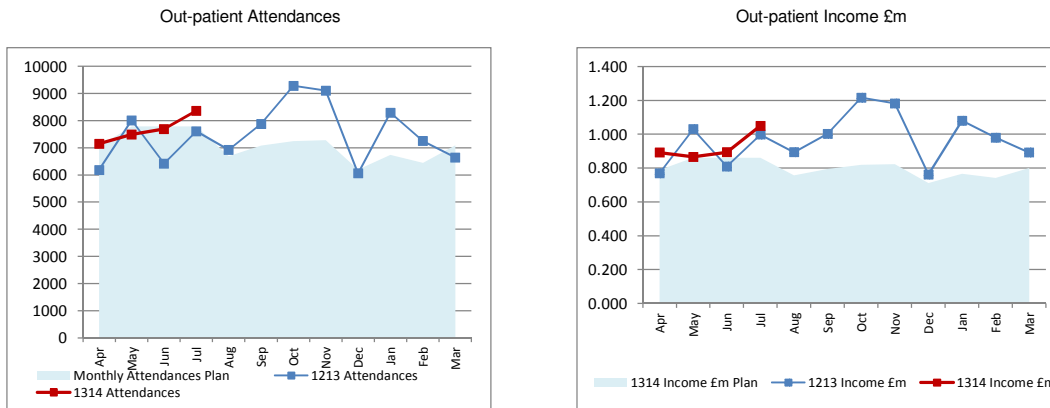
E) Income and activity analysis

Inpatients



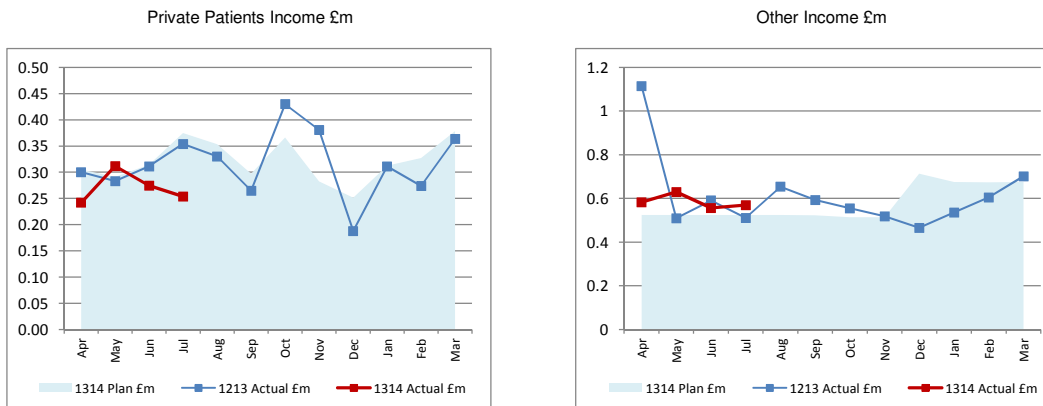
July activity is 105 spells above plan but at a lower than average casemix.

Out-patients



Outpatients have continued to perform above plan generating further additional income.

Other Income



Private Patient income continues to perform below plan due to a weaker than average casemix largely driven by Consultant leave in the spinal specialty.

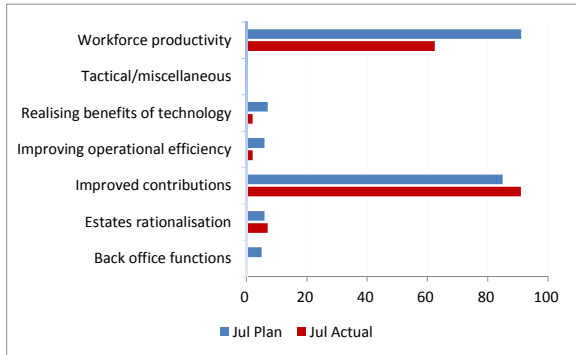
Other income was ahead of plan due to a mix of new RTA notifications and Research Income.

Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

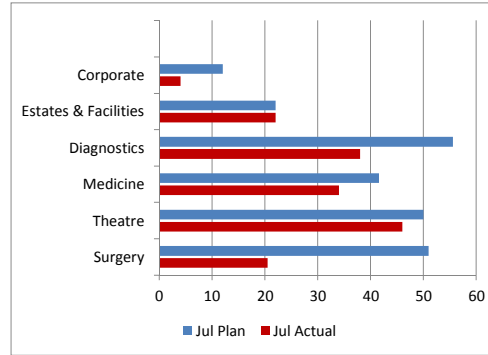
Finance Report for period ending 31st July 2013

F) Cost Improvement Programme

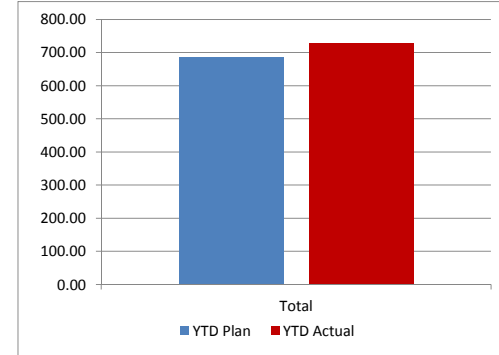
In Month CIP Achievement £000's



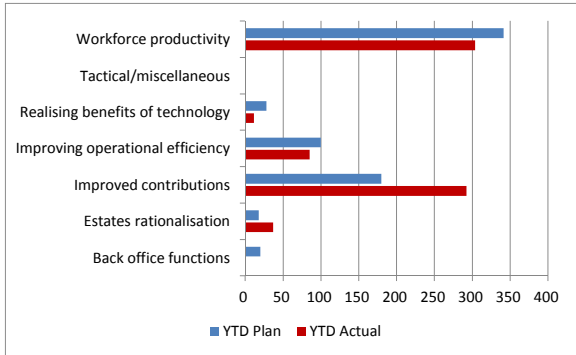
In Month CIP Achievement £000's



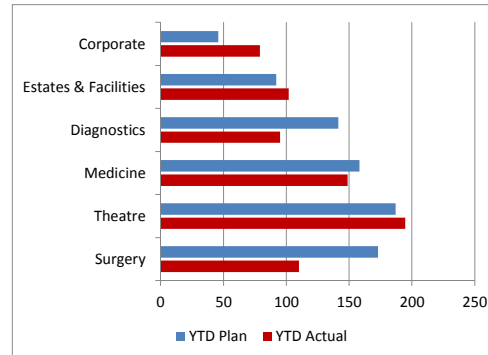
Trust YTD Achievement Against YTD Plan £000's



Year To Date CIP Achievement £000's



Year To Date CIP Achievement £000's



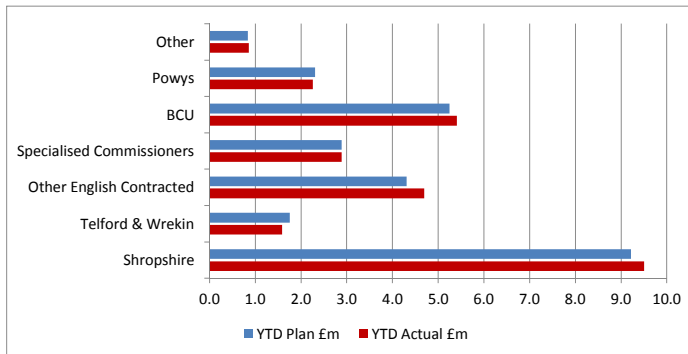
CIP performance fell in month; cost efficiencies of £165k were recognised which was £36k below plan. We remain ahead of plan YTD (currently £42k) although it should be noted that the annual plan is weighted for back ended delivery.

The main drivers of the in month under performance is the PP income CIP and the Band 6 Cover at Night CIP.

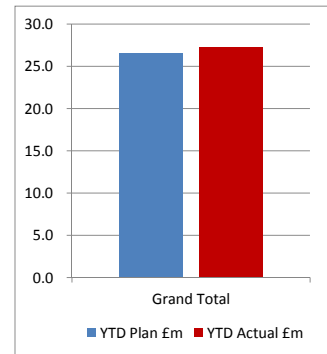
A detailed review of the full programme was undertaken by the BRIC in July to fully scope delivery risks and mitigations.

G) Commissioner Performance

Year To Date Commissioner Income against Plan £m



YTD Total Income Against Plan £m



Overall contracted income is ahead of plan by £0.6m. The activity delivery plan reflects the front loaded delivery profile of activity associated with RTT improvements.

All contracts have been formally agreed with the exception of BCU whereby we have now agreed the contract quantum, but are still in discussion regarding clauses and the non recurrent waiting list element of the Shropshire contract.

Discussions with these Commissioners remain positive and we anticipate conclusion imminently for values aligned to our plan assumptions.

The BCU over performance is driven by a delay in their planned repatriation of activity although we are advised that this will still take place in line with the levels originally planned.

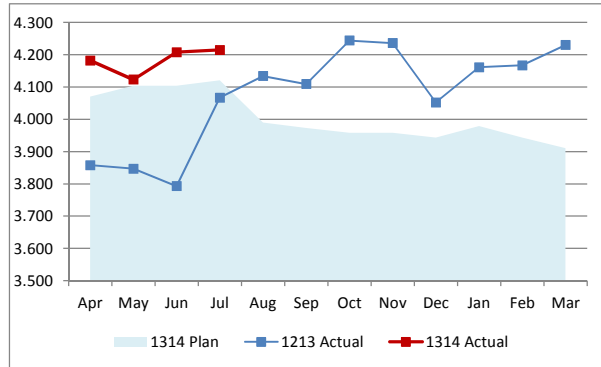
Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

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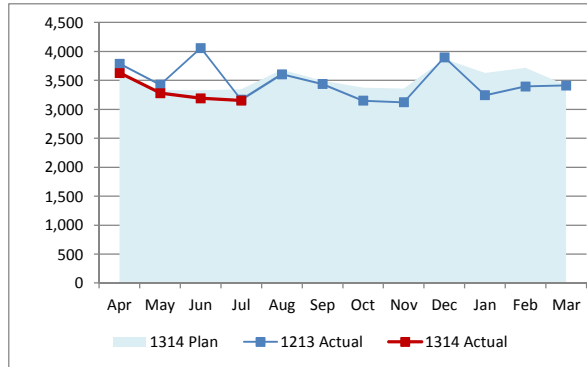
H) Pay Expenditure related Key Drivers/Financial Assumptions

Total Pay

Total Pay Expenditure (£m)



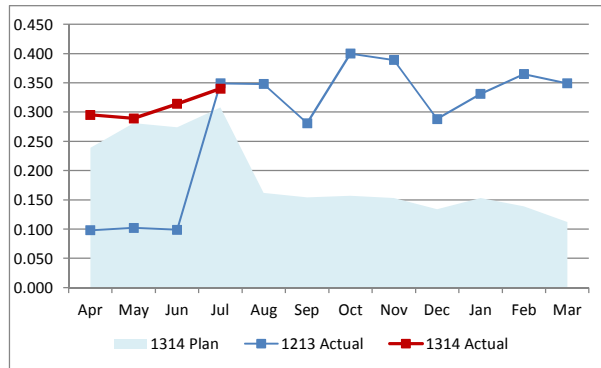
Trust Pay Cost Per In-patient Spell (£)



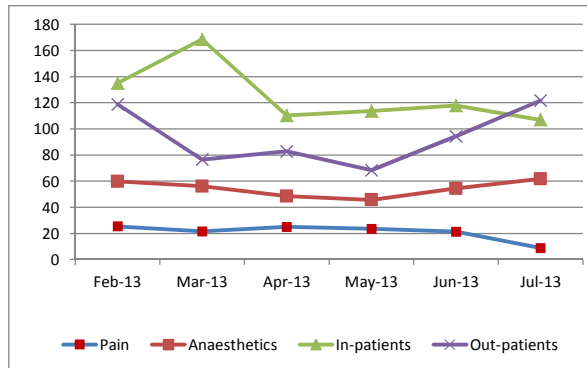
Pay costs exceeded plan in month driven by excess Out of Job plan working across the Surgery division, increased agency spend and an increase in SaTH recharges which are being investigated.

Out of Job Plan Expenditure

Out of Job Plan Expenditure (£m)



Six Months Out of Job Plan Expenditure By Area (£,000)

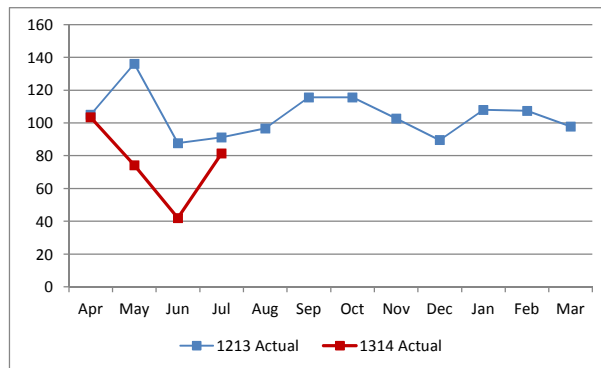


Out of Job Plan costs continued to be a cost pressure in month with the main driver being an increase in out-patient OJP. Some of this can be attributed to an increase follow up clinics which are supported by additional income.

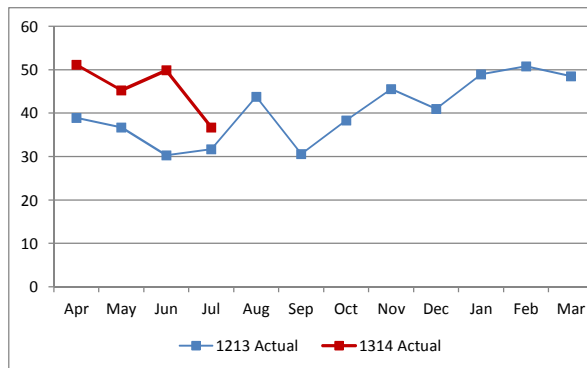
The control of OJP while meeting our RTT commitments remains critical to our financial performance.

Bank & Agency Expenditure

Clinical Bank & Agency Expenditure (£,000)



Non-Clinical Bank & Agency Expenditure (£,000)



Clinical Bank & Agency costs increased back to normal levels .

Non-clinical spend dropped off considerably in month due to reductions on previous month in Medical Records and Facilities.

Overall spend continues to be higher than allowed due to RTT pressures and pockets of high sickness and vacancy cover.

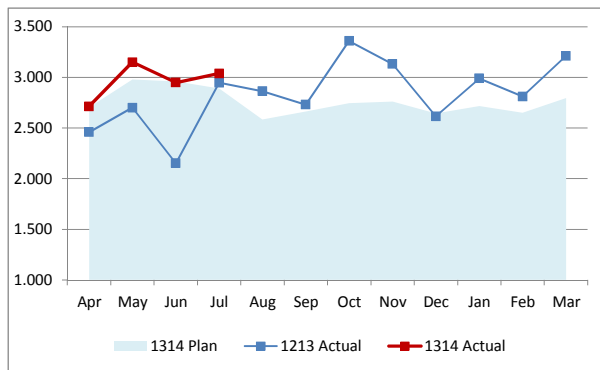
Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

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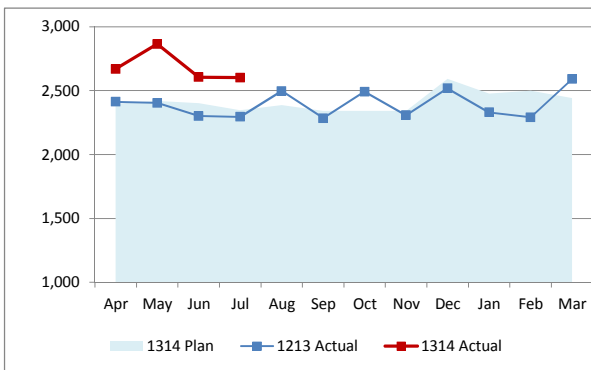
I) Non-Pay Expenditure related Key Drivers/Financial Assumptions

Total Non-pay

Total Non-pay Expenditure (£m)



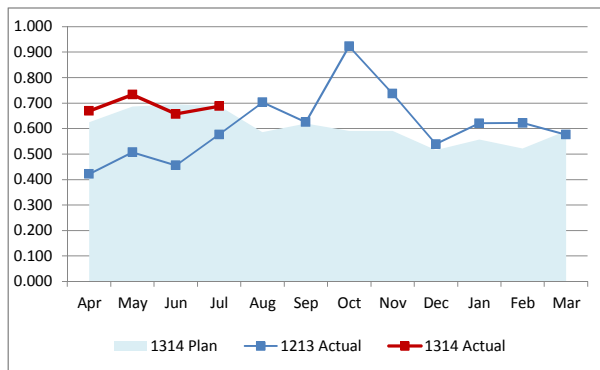
Trust Average Non-pay Cost Per In-patient Spell (£)



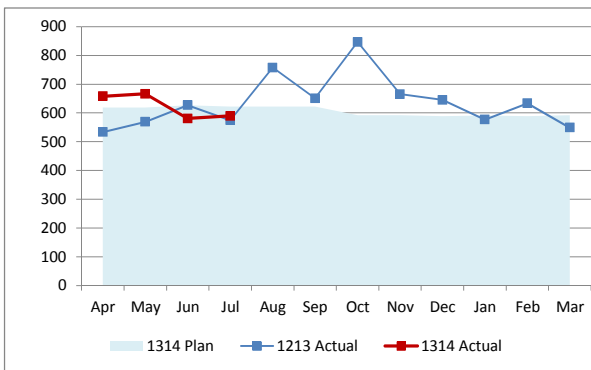
Non pay was expenditure was slightly above plan in month driven by cost pressures linked to additional activity volumes.

Implants Expenditure

Implant Expenditure (£m)



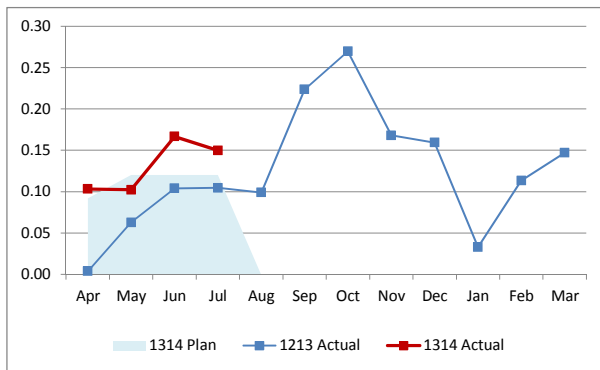
Average Implant Cost Per Surgical In-patient Spell (£)



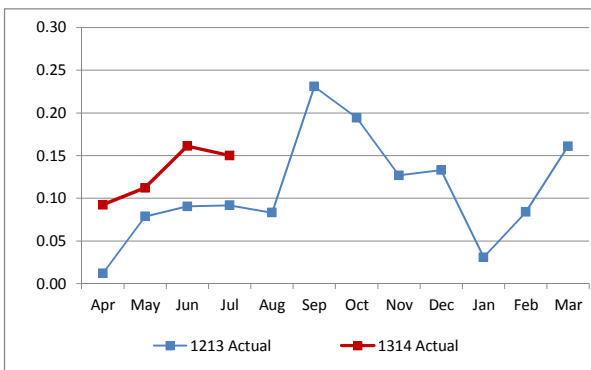
Implant expenditure was on plan this month, driving a lower than planned cost per spell given the activity over performance. This is due to less complex cases requiring the more expensive implants.

Drugs Expenditure

Private Sector Expenditure (£m)



Income Generated by Private Sector Work (£m)



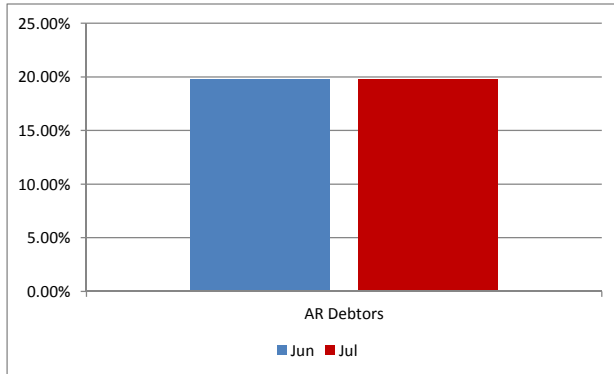
Usage of the private sector in July continues to exceed planned levels and is associated with the sub specialty capacity pressures in delivering RTT improvements.

Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

Finance Report for period ending 31st July 2013

J) Balance Sheet Items Analysis

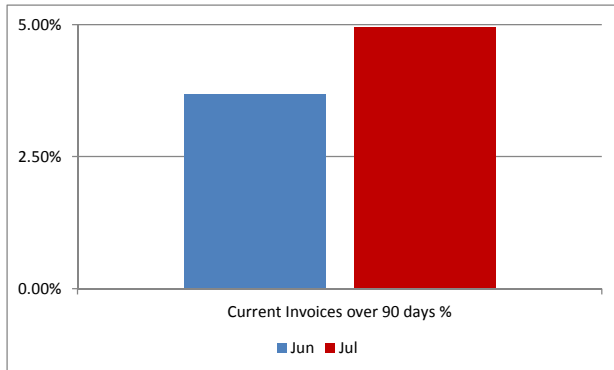
Receivables over 90 Days



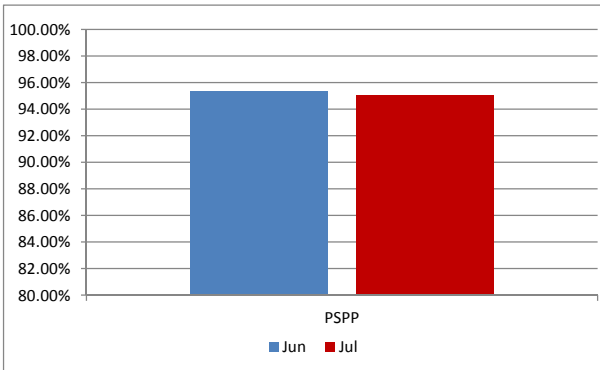
The percentage of invoices outstanding over 90 days remained steady at 19.76%. This remains ahead of the Monitor target threshold of 5%.

Whilst internal debt collection arrangements have been strengthened, there remain a number of challenges to unpick in respect of outstanding invoices from insured private patients. Many of these relate to the backdated charging exercise and have been provided for in full.

Creditors over 90 Days



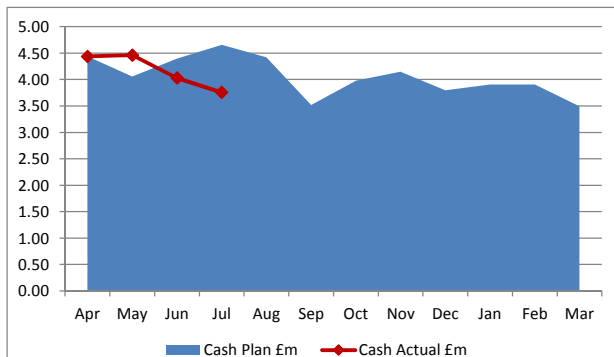
Public Sector Payments Policy



Whilst Creditors over 90 days increased to 4.94% they remain well within the Monitor target 5% threshold.

Invoices paid within 30 days performance fell slightly to 95.04% but is still ahead of the 95% target.

Cash Plan v Actual



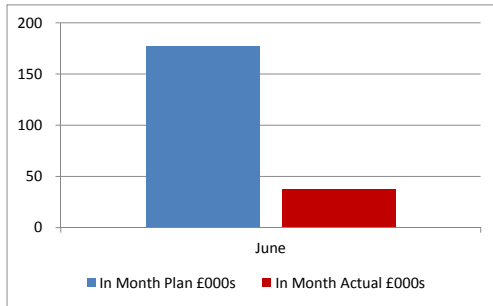
Cash balances fell by £0.27m in month and now stand at £3.8m which is £0.9m under plan. The fall in month is due to repayment of the duplicate payment from our specialist commissioner. The cash shortfall is due to Commissioner over performance /front loading of plan not yet cash settled. We are actively looking to agree cash payments from our commissioners to match our delivery profile.

Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

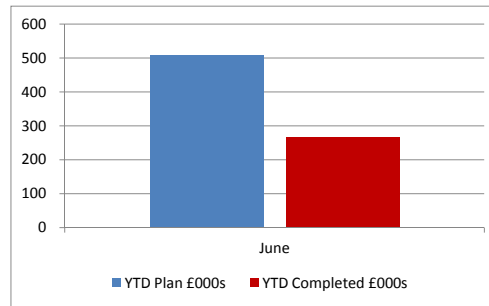
Finance Report for period ending 31st July 2013

K) Capital Programme

In Month Capital Expenditure £'000s



Cumulative Capital Expenditure £'000s



Capital Projects Listing

| Capital Projects 2013/14 | | | | | | | | |
|--------------------------------|-------------------|---------------|-----------------|-------------------|----------------|---------------------|--------------------|------------------|
| Project | Annual Plan £000s | In Month Plan | In Month Actual | In Month Variance | YTD Plan £000s | YTD Completed £000s | YTD Variance £000s | Forecast Outturn |
| Estates Backlog Maintenance | 500 | 65 | 8 | -57 | 95 | 17 | -78 | 500 |
| Medical Equipment replacement | 300 | 0 | -0 | -0 | 130 | 160 | 30 | 300 |
| Diagnostics Equipment | 200 | 0 | 0 | 0 | 0 | 0 | 0 | 200 |
| IT Investment Programme | 400 | 10 | 13 | 3 | 40 | 29 | -11 | 400 |
| Orthotics Redesign | 250 | 50 | -0 | -50 | 50 | 2 | -48 | 250 |
| X-Ray room 3 upgrade | 150 | 0 | 2 | 2 | 0 | 2 | 2 | 150 |
| Denbighs | 40 | 5 | 0 | -5 | 5 | 0 | -5 | 40 |
| Stores | 120 | 0 | 0 | 0 | 0 | 0 | 0 | 120 |
| Other | 300 | 25 | 0 | -25 | 100 | 0 | -100 | 300 |
| Tumour Unit | 2,150 | 10 | 8 | -2 | 40 | 17 | -23 | 2,150 |
| Scheme Project Management | 150 | 12 | 9 | -3 | 49 | 43 | -6 | 150 |
| NHS Capital Expenditure | 4,560 | 177 | 38 | -139 | 509 | 268 | -241 | 4,560 |

The capital programme was £0.14m behind plan in month as an anticipated bill for the initial stage of the Orthotics development is yet to be received. Backlog maintenance has also contributed towards this under performance. However individual backlog schemes are due to commence shortly including further investment on the main corridor.

Overall year to date capital expenditure is £0.24m behind plan. This is driven by the short fall on schemes discussed above along with having a built in contingency that has yet to be utilised. As agreed by the Board we will bring a refreshed capital forecast to the October Board.

L) Service Line Performance

| Service Line Group | Surplus / (Loss) | |
|-------------------------------|------------------|------------|
| | Q4 £000 | Q3 £000 |
| Joint Surgery / Bone Tumour | 240 | -65 |
| Spinal Services | -14 | -146 |
| Paediatric Services | 74 | 203 |
| Medicine | 83 | 121 |
| Trading Directorate | 235 | 230 |
| Corporate Overhead Adjustment | -143 | -403 |
| Total | 475 | -60 |

The updated Service Line Reporting for Quarter 4 of 2012/13 is shown in the table above. Of specific note for Quarter 4 is:

Joint Surgery

The improved Joint Surgery position has been driven by increased activity for Foot & Ankle and Upper Limb.

Spinal Services

Spinal Surgery has improved in quarter due to reduced private sector usage.

Paediatric Services

The deterioration in quarter has been driven by reduced activity.

Medicine

Additional funding was secured in Q4 for Care of the Elderly offset by increased costs in both Metabolic Medicine and Rheumatology.

Trading Directorate

A continued strong performance from Private Patients and Orthotics, offsetting the direct access services.

Corporate Overhead Adjustment

These relate to non operationally driven adjustments e.g. impact of accounting changes and re-assessment of provisions. The impact of these is therefore excluded from individual service line positions.

M) Key Financial Risks

| Key Risk Description | Risk Rating | Mitigating Action | Resulting Risk Rating |
|---|-------------|--|-----------------------|
| • Delivery of 2013/14 CIP | High | Close tracking of delivery as part of performance framework. Total schemes identified in excess of target. | Medium |
| • Penalties levied for any target failure | High | Trajectories agreed and robust reporting and tracking system in place. Annual Plan contains a penalty reserve. | Medium |
| • Financial Challenges facing the Local Health Economies | High | Signed contracts in place. Working relationships remain strong despite challenges. | Medium |
| • In year cost pressures (Inflation, impact of RTT action plan, pressures as identified by Monitor) | High | Contingency allocated as part of plan. Pro-active procurement strategy for holding prices. | Medium |
| • Commissioners do not recognise requirement for increased volumes to support RTT sustainability | High | Pressures openly discussed at monthly performance meets and strategies agreed | Medium |
| • Shortfall on fundraising for tumour unit | High | Dedicated fund raising manager. Progress closely tracked by Charitable Funds Committee | Medium |
| • Turbulence to Commissioning landscape arising from Specialist Services dataset review | High | Signed contracts in place reference allocation issues as Commissioner risk. | Medium |
| • Tariff Instability. | High | On-going discussion with PbR team and volunteered to participate with Monitor costing of future tariff. | Medium |

The financial risks to the delivery of our financial plan have been captured in the table above. Also included are the mitigating actions in place from which a residual risk position has been assessed.

Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust

Finance Report for period ending 31st July 2013

N) Rolling Cashflow Forecast

| INCOME | Actual | | | | | | | | | | | | Forecast | | | | | | | | | | | | | |
|---|--------------------|------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|------------------|------------------|------------------|----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------------|----------------|--------------|-------|
| | August 2012 Actual | Sept 2012 Actual | Oct 2012 Actual | Nov 2012 Actual | Dec 2012 Actual | Jan 2013 Actual | Feb 2013 Actual | Mar 2013 Actual | Apr 2013 Actual | May 2013 Actual | June 2013 Actual | July 2013 Actual | August 2013 Plan | Sept 2013 Plan | Oct 2013 Plan | Nov 2013 Plan | Dec 2013 Plan | Jan 2014 Plan | Feb 2014 Plan | Mar 2014 Plan | Apr 2014 Plan | May 2014 Plan | June 2014 Plan | July 2014 Plan | | |
| Clinical SLA Income | 5,918 | 5,905 | 5,909 | 7,257 | 5,848 | 6,232 | 6,013 | 6,058 | 5,941 | 6,642 | 5,773 | 5,718 | 6,360 | 5,902 | 5,902 | 5,902 | 5,902 | 5,902 | 5,902 | 5,902 | 5,907 | 5,950 | 5,950 | 5,950 | 5,950 | |
| Other Clinical Income | 300 | 200 | 300 | 300 | 302 | 379 | 280 | 397 | 46 | 93 | 177 | 897 | 500 | 400 | 400 | 400 | 450 | 450 | 450 | 450 | 350 | 350 | 350 | 350 | 350 | |
| Clinical SLA Overperformance | | | | 17 | 42 | | | 450 | 479 | 249 | | 6 | 26 | | | | | | | | | | | | | |
| Clinical SLA Underperformance refunds | | | | | | | | | -361 | -600 | | | | | | | | | | | | | | | | |
| Other NHS Income | 348 | 199 | 768 | 177 | 191 | 747 | 317 | 344 | 629 | 136 | 365 | 346 | 400 | 400 | 500 | 400 | 400 | 500 | 400 | 400 | 500 | 500 | 500 | 500 | 500 | |
| Non NHS Income | 414 | 383 | 569 | 799 | 731 | 443 | 498 | 526 | 785 | 779 | 399 | 302 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | |
| Recharges | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 153 | 68 | 67 | 146 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | |
| Donated Capital | 59 | 86 | | | | | | | | | | | | | | | 200 | | | | 500 | 500 | 500 | 500 | 500 | |
| Total Cash receipts | 7,164 | 6,898 | 7,671 | 8,675 | 7,239 | 7,926 | 7,233 | 7,900 | 7,672 | 7,367 | 6,781 | 7,415 | 7,911 | 7,327 | 7,427 | 7,327 | 7,577 | 7,477 | 7,377 | 7,982 | 7,925 | 7,925 | 7,925 | 7,925 | 7,925 | |
| EXPENDITURE | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Payroll | 2,266 | 2,305 | 2,295 | 2,312 | 2,255 | 2,308 | 2,267 | 2,306 | 2,282 | 2,328 | 2,343 | 2,332 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 | 2,320 |
| Tax,NI,SPN | 1,544 | 1,590 | 1,613 | 1,603 | 1,615 | 1,572 | 1,599 | 1,574 | 1,624 | 1,609 | 1,658 | 1,660 | 1,669 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 | 1,660 |
| Annual Rentals | | 839 | | | | | | | | 417 | | | | | 850 | | | | | | | | | | | |
| Non-Pay via Accs Payable (Trade) | 3,490 | 2,437 | 2,569 | 3,364 | 3,202 | 2,504 | 2,305 | 3,144 | 2,768 | 2,343 | 2,459 | 3,216 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | 2,275 | |
| Non-Pay via Accs Payable (NHS) | 907 | 595 | 561 | 697 | 633 | 595 | 492 | 565 | 660 | 512 | 687 | 449 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 500 | 550 | 550 | 550 | 550 | 550 | |
| Capital (NHS) | 167 | 191 | 159 | 277 | 258 | 379 | 257 | 4 | 103 | 133 | 65 | 31 | 300 | 380 | 400 | 600 | 600 | 500 | 544 | 169 | 500 | 500 | 500 | 500 | 500 | |
| Capital (Donated) | | | | | | | | | | | | | | | | | | | | 700 | 500 | 500 | 500 | 500 | 500 | |
| Investments | 1,000 | -1,000 | -1,000 | -2,000 | 1,000 | | | -1,000 | | | 1,000 | | | | | | | | | | | | | | | |
| Loan Repayment | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Loan Interest | | 7 | | | | | | | 6 | | | | | | | | | | | | 6 | | | | | |
| PDC Dividend | | 598 | | | | | | | 693 | | | | | | | | | | | | 693 | | | | | |
| Total Cash Payments | 9,374 | 7,562 | 6,197 | 6,253 | 8,963 | 7,358 | 6,920 | 7,292 | 7,437 | 7,342 | 8,212 | 7,688 | 7,064 | 7,684 | 7,155 | 7,355 | 7,355 | 7,255 | 7,299 | 8,323 | 7,805 | 7,805 | 7,805 | 7,805 | 7,805 | |
| CASH BALANCE | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Opening Balance | 3,411 | 1,201 | 537 | 2,011 | 4,433 | 2,709 | 3,277 | 3,590 | 4,198 | 4,433 | 4,458 | 3,027 | 2,754 | 3,601 | 3,244 | 3,516 | 3,488 | 3,710 | 3,932 | 4,010 | 3,569 | 3,689 | 3,809 | 3,929 | 3,929 | |
| Cash Movement | -2,210 | -664 | 1,474 | 2,422 | -1,724 | 568 | 313 | 608 | 235 | 25 | -1,431 | -273 | 847 | -357 | 272 | -28 | 222 | 222 | 78 | -441 | 120 | 120 | 120 | 120 | 120 | |
| Closing Balance | 1,201 | 537 | 2,011 | 4,433 | 2,709 | 3,277 | 3,590 | 4,198 | 4,433 | 4,458 | 3,027 | 2,754 | 3,601 | 3,244 | 3,516 | 3,488 | 3,710 | 3,932 | 4,010 | 3,569 | 3,689 | 3,809 | 3,929 | 4,049 | | |
| Total cash including investments | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Add short term investments | 4,000 | 3,000 | 2,000 | | 1,000 | 1,000 | 1,000 | | | | 1,000 | 1,000 | 1,000 | | | | | | | | | | | | | |
| Total Cash Holding | 5,201 | 3,537 | 4,011 | 4,433 | 3,709 | 4,277 | 4,590 | 4,198 | 4,433 | 4,458 | 4,027 | 3,754 | 4,601 | 3,244 | 3,516 | 3,488 | 3,710 | 3,932 | 4,010 | 3,569 | 3,689 | 3,809 | 3,929 | 4,049 | | |
| Previous month forecast cash holding | 5,201 | 3,537 | 4,011 | 4,433 | 3,709 | 4,277 | 4,590 | 4,198 | 4,365 | 4,052 | 4,358 | 4,703 | 4,984 | 3,536 | 3,717 | 3,598 | 3,729 | 3,860 | 3,847 | 3,482 | 3,527 | 3,572 | 3,617 | | | |
| Variance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 68 | 406 | -331 | -949 | -383 | -292 | -201 | -110 | -19 | 72 | 163 | 87 | 162 | 237 | 312 | | | |

Cashflow

Appendix 1 - Declaration of performance against healthcare targets and indicators

These targets and indicators are set out in the 2013/14 Compliance Framework

Definitions can be found in the "2013/14 Compliance Framework"

| | Inresnoia/ agreed target YTD | Weighting | Month 4 Achieved / Not Met | explanation |
|---|------------------------------------|-----------|----------------------------------|-------------|
| Clostridium Difficile -meeting the C.Diff objective | 2 | 1.0 | Achieved | |
| MRSA - meeting the MRSA objective | 0 | 1.0 | Achieved | |
| Cancer 62 Day Waits for first treatment (from urgent GP referral) | >85% | 1.0 | Achieved | |
| Referral to treatment time, 18 weeks in aggregate, admitted patients | >90% | 3.0 | Achieved | |
| Referral to treatment time, 18 weeks in aggregate, non-admitted patients | >95% | | Achieved | |
| Referral to treatment time, 18 weeks in aggregate, incomplete pathways | >92% | | Achieved | |
| Cancer 31 day wait from diagnosis to first treatment | >96% | 0.5 | Achieved | |
| Cancer 2 week (all cancers) | >93% | 0.5 | Achieved | |
| Compliance with requirements regarding access to healthcare for people with a learning disability | N/A | 0.5 | Achieved | |
| Risk of, or actual, failure to deliver mandatory services | N/A | 4.0 | No | |
| CQC compliance action outstanding (as at 30 Sep 2012) | N/A | special | No | |
| CQC enforcement action within last 12 months (up to 30 Sep 2012) | N/A | special | No | |
| CQC enforcement notice currently in effect (as at 30 Sep 2012) | N/A | 4.0 | No | |
| Moderate CQC concerns or impacts regarding the safety of healthcare provision (as at 30 Sep 2012) | N/A | special | No | |
| Major CQC concerns or impacts regarding the safety of healthcare provision (as at 30 Sep 2012) | N/A | 2.0 | No | |
| Unable to maintain, or certify, a minimum published CNST level of 1.0 or have in place appropriate alternative arrangements | N/A | 2.0 | No | |
| Score of 7 or less in standard 1 assessment at last NHSLA CNST inspection (maternity or all services) | N/A | special | No | |
| Trust unable to declare ongoing compliance with minimum standards of CQC registration | N/A | special | No | |
| Results left to complete | | | 0 | |
| Total Score | | | 0 | |
| Override Rating | | | GREEN | |
| Overall rating | | | GREEN | |